

Customer No.: 07278

File: 20107/1200838-US1

IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Earl Rotman, et al.

Confirmation Number: 3328

Serial No.: 10/709,329

Group Art Unit: 3628

Filed: April 28, 2004

Examiner: Nguyen, Nga B

For: SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

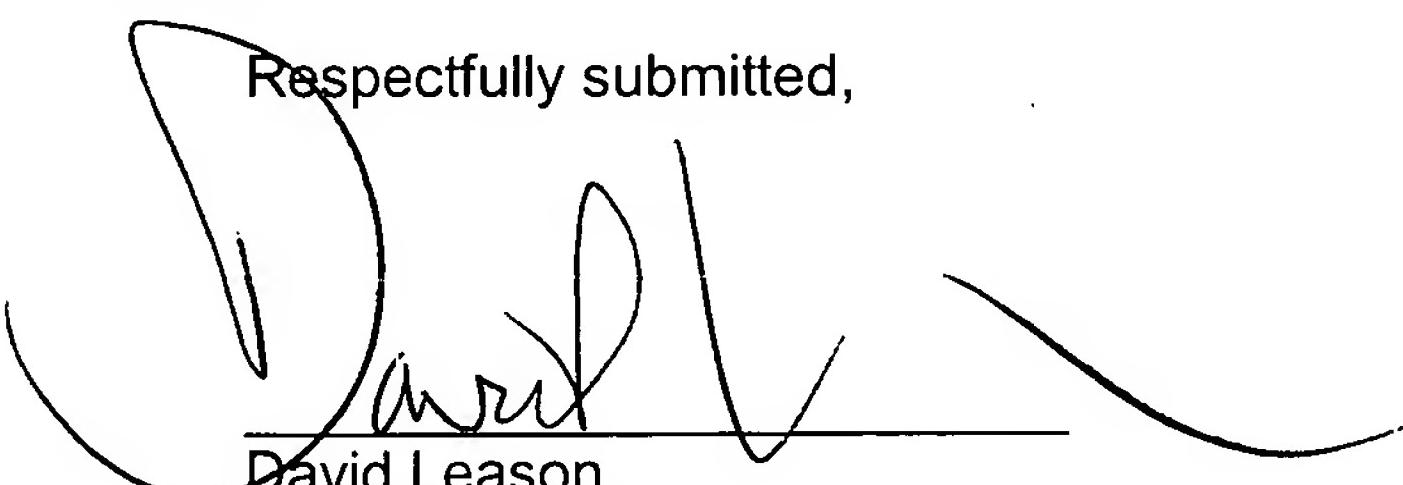
FILING RECEIPT STATUS REQUEST

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

To date, we have not received a filing receipt for the above-identified patent application. If the receipt has already been sent, please forward a copy for our file. If the receipt has not been sent, please let us know when we can expect to receive it.

Respectfully submitted,


David Leason
Reg. No. 36,195
Attorney for Applicant(s)

Dated: October 26, 2004

DARBY & DARBY P.C.
Post Office Box 5257
New York, NY 10150-5257
212-527-7700